

# WAVE Action, Action - Angle Variables, & Adiabatic Invariance

for the  
Continuous Spectrum of Vlasov Poisson

P. J. Morrison w/ N. Balmforth  
& B. Shadwick

IFS, UTexas, Austin

# WHY WAVE Action?

It is useful for describing wave propagation in inhomogeneous time varying media, for example. For slowly varying media wave action is nearly constant, but the energy & frequency can change a lot.

# WHENCE WAVE Action?

- \* Wave quanta, e.g. plasmons; analogy w/ q. mechanics. (where there?)
- \* Average Lagrangian techniques - Whitham e.g.
- \* fooling around
- \* Hamiltonian idea: Wave Action = Action Variable  
Fundamental? = Adiabatic Invariant

# LIMITATIONS

- \* quantum analogy is silly - where there?
- \* fooling around is good method for smart people
- \* Lagrangian techniques: 1)  $\exists$  strange action principles  
e.g.  $\mathcal{L}\psi = 0$  has

Where is  $F=ma$ ?  $\rightarrow$

$$S_1[\psi] = \int (\mathcal{L}\psi)^2$$
$$S_2[\psi] = \int \psi^+ \mathcal{L}\psi \text{ etc}$$

- 2) Must develop methods of proof; beyond all orders etc. - lack Hamiltonian intuition
- 3)  $\nexists$  methods for continuous spectrum?

These tools exist for Hamiltonian approach! The fundamental degrees of freedom are not "waves"

- 4) Problem is easy for fluid theories w/o wave-particle resonance

# Vlasov-Poisson = Hamiltonian Field Theory

$$\frac{\partial f(x, v, t)}{\partial t} + [E, f] = 0$$

$$[f, g] = \frac{\partial f}{\partial x} \frac{\partial g}{\partial v} - \frac{\partial f}{\partial v} \frac{\partial g}{\partial x}$$

$$H[f] = \int \frac{mv^2}{2} f \, dx dv + \frac{1}{8\pi} \int E^2 dx$$

$$\frac{\delta H}{\delta f} = \mathcal{E} = \frac{mv^2}{2} + \phi$$

$$\frac{\partial f}{\partial t} = \{f, H\}$$

like:  $\psi_t = \{\psi, H\} = \frac{\delta H}{\delta \pi}$

$$\pi_t = \{\pi, H\} = -\frac{\delta H}{\delta \psi}$$

$$\{F, G\} = \int f \left[ \frac{\delta F}{\delta f}, \frac{\delta G}{\delta f} \right] dx dv$$

Klein-Gordon etc.

Noncanonical Poisson Bracket

$$\{F, G\} = \int \left( \frac{\delta F}{\delta \psi} \frac{\delta G}{\delta \pi} - \frac{\delta F}{\delta \pi} \frac{\delta G}{\delta \psi} \right)$$

has Casimirs

$$C[f] = \int c(f) dx dv$$

$$\{C, F\} = 0 \quad \forall F$$

# Linear Theory (about homogeneous equilibria)

$$f = f_0(v) + \sum_k \frac{1}{2} f_k(v, t) e^{ikx}$$

$$\{F, G\}_L = \frac{4i}{mV} \sum_{k=1}^{\infty} k \int_{-\infty}^{\infty} dv \frac{\partial f_0}{\partial v} \left( \frac{\delta F}{\delta f_k} \frac{\delta G}{\delta f_{-k}} - \frac{\delta F}{\delta f_{-k}} \frac{\delta G}{\delta f_k} \right)$$

$$\boxed{\frac{\partial f_k}{\partial t} = \{f_k, H_L\}_L}$$

⇒ linear dynamics

$$H_L = \mathcal{S}^2 F = \mathcal{S}^2 H + \mathcal{S}^2 C$$

↙ Kruskal-Oberman energy

## Canonization

$$Q_k(v) = \frac{mV}{4ik f_0'(v)} f_k(v)$$

$$P_k(v) = f_{-k}$$

$$\{F, G\}_L = \sum_{k=1}^{\infty} \int_{-\infty}^{\infty} dv \left( \frac{\delta F}{\delta q_k} \frac{\delta G}{\delta p_k} - \frac{\delta F}{\delta p_k} \frac{\delta G}{\delta q_k} \right)$$

$$\mathcal{S}^2 F = -\frac{m}{4} \int_{-\infty}^{\infty} \sum_k \frac{v |f_k|^2}{\frac{\partial f_0}{\partial v}} dv$$

$$+ \frac{V}{16\pi} \sum_k |E_k|^2$$

$$= \iint \sum_k f_k(v) \Theta(v/v') f_k(v') dv dv'$$

↗ Not Diagonal

# Diagonalization

Mixed Variable Generating Function: <sup>"(a1)"</sup>

$$F[P_R, q_R] = \sum_R \int_{-\infty}^{\infty} du \int_{-\infty}^{\infty} dv q_R(v) P_R(u) g_R(u, v)$$

New Old

$$g_R(u, v) = \epsilon_I(k, v) \frac{1}{\pi} \mathcal{P} \frac{1}{u-v} + \epsilon_R(k, v) \delta(u-v)$$

$$\frac{\delta F}{\delta q_R(v)} = P_R(v) = \int_{-\infty}^{\infty} P_R(u) g_R(u, v) du$$

$$\frac{\delta F}{\delta P_R(u)} = q_R(u) = \int_{-\infty}^{\infty} q_R(v) g_R(u, v) dv$$

$$\text{Basic Identity: } \int_{-\infty}^{\infty} \tilde{g}_R(u, v') g_R(u, v) du = \delta(v-v')$$

$$\tilde{g}_k(u, v) = \frac{1}{|E|^2(u)} \left\{ \epsilon_I(k, u) \frac{1}{\pi} \mathcal{P} \frac{1}{u-v} + \epsilon_R(k, u) \delta(u-v) \right\}$$

Inserting  $q_k(u)$  &  $p_k(u)$  into into  $H_L$

→ diagonal form

$$H[Q_k, P_k] = \int du \sum_k (-i k u) Q_k P_k$$

## Action-Angle Variables

$$Q_k = \sqrt{J_k} e^{-i\theta_k(u)}$$

$$P_k = i\sqrt{J_k(u)} e^{i\theta_k(u)}$$

⇒

$$H[J_k, \theta_k] = \int du \sum_k (k u) J_k(u)$$

(like SHO  $\sum_k \omega_k J_k$ )

# Adiabatic Invariance for Continuous Spectra?

Suppose  $f_0(u, \epsilon t)$  has a slow time dependence  $\Rightarrow$   
 $\uparrow$  slow

$(Q_R, P_R) \longleftrightarrow (Q_r, P_r)$  is an explicitly time dependent transformation (Since  $\epsilon_{I,R}(k, u, \epsilon t)$ )  $\Rightarrow$

$$H(Q_R, P_R) = \int du \sum_k (-ik u) Q_R P_R + \frac{\partial F}{\partial t} \quad \leftarrow \text{New Term}$$

$$\frac{\partial F}{\partial t} = \int du \int dv \int du' Q_R(u') P_R(u) \tilde{g}(u', v) \frac{\partial g}{\partial t}(u, v)$$

$$\frac{\partial F}{\partial t} = \int du \int dv \int du' \sqrt{J_k(u')} e^{-i\theta_k(u')} e^{i\theta_k(u)} \sqrt{J_k(u)} \tilde{g}(u', v) \frac{\partial g}{\partial t}(u, v)$$

$$\dot{J}_k = - \frac{\delta H}{\delta \theta_k} = - \frac{\partial (\partial F / \partial t)}{\partial \theta_k} = \mathcal{O}(\epsilon) \quad \leftarrow \text{small}$$



Consider an arbitrary time  $T$  & integrate  $\Rightarrow$

$$\Delta J_{kT} := \int_0^T \dot{J}_k dt = - \int_0^T \frac{\delta}{\delta \theta_k} \frac{\partial F}{\partial t} dt \quad \text{w.t.s.}$$

$$|\Delta J_{kT}| \leq \frac{C \epsilon}{|k\omega|} \sim \frac{\Delta f_0}{T}$$

$C$  must be indep.  
of  $T$ !

With this, the action remains arbitrarily small with large changes in  $\Delta f_0$  if  $T$  is large, i.e. the change in  $f_0$  is made very slowly over a long time.

## Question

Can other wave actions (Kaufman, Brizard, Tracy, Crawford, ...) that are functions of  $x$  &  $t$  be represented as a sum over these continuum action variables?